



Modeling Individual and Group Behavior in Complex Environments

Dr. R. Andrew Goodwin
Environmental Laboratory

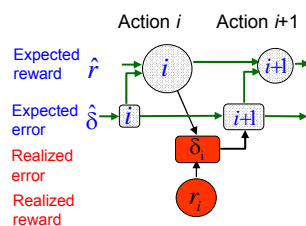
Professor James J. Anderson
Abran Steele-Feldman
University of Washington



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Status:
AT-14
Continuing

Modeling Individual and Group Behavior in Complex Environments



Purpose:

- Identify/capture robust phenomena regarding how individuals detect/respond to environmental changes.
- Philosophical basis: cognitive needs of the U.S. Army will find value from novel findings in non-human studies.

Product/Results:

- Concise, neurologically- and physiologically-realistic algorithm replicating fundamental & pervasive psychological phenomena.

Payoff:

- Model can be expanded and integrated with other neurological principles / software packages to explain more complex behavior.
- Algorithm can be used to simulate simple behavior and multi-agent interactions.

Modeling Individual and Group Behavior in Complex Environments

What is the Problem?

- To simulate learning and decision making in dynamic heterogeneous environments, we want algorithms that are:
 - neurologically-realistic,
 - able to generate important, observed phenomena,
 - computationally- (cognitively-) efficient,
 - able to be embedded in a hierarchical framework of self similar algorithms.

What are the barriers to solving the problem?

- Psychological models are generally descriptive or address highly structured behavioral environments.
- Neurological models are very complex and computationally intensive.
- Model of intermediate complexity needed.

What is innovative about this work?

- Algorithm frames learning as fundamentally a problem in forecasting future rewards.
- Structure naturally explains important, observed phenomena in classical and operant conditioning experiments with a simple architecture.
- Algorithm borrows from signal processing, time-series forecasting, psychological, and neurological literature.

How will you overcome these barriers?

- Use psychological literature to characterize fundamental behaviors that any model must replicate.
- Guide development and support algorithms by finding neurological equivalents to model components.
- Structure model complexity using principles from signal processing and time-series forecasting.

What are results of this research and what is its value?

- To our knowledge, no other model yet captures all three important, observed phenomena in classical and operant conditioning experiments:
 - the partial reinforcement extinction effect,
 - spontaneous recovery, and
 - latent inhibition.
- Provides a robust learning algorithm that can be embedded in multi-agent interaction models.

Collaboration across ERDC, commercial firms, and/or academia.

- Dr. R. Andrew Goodwin (EL)
- Academia Collaboration
 - University of Washington**
 - Professor James J. Anderson
 - Abran Steele-Feldman
 - USMA**
 - Niki Goerger



Basis of Research

Massive, Complex Cognitive Systems

- **ACT-R (Adaptive Control of Thought – Rational)**
 - integrates theories of cognition, visual attention, and motor movement,
 - not AI programming language → not for developing expert systems,
 - learning ACT-R → visit lab where it's used for “months rather than days”.
- **Soar**
 - construct integrated intelligent agents → AI researchers,
 - cognitive modeling → cognitive scientists,
 - not presently strong in low-level perception or motor control (*compared to ACT-R*),
 - AI programming language,
 - learning Soar → visit lab where it's used for “months rather than days”:
 - not good for simple rule systems.
- **Jess**
 - tool for building rule-based expert system tools → not for implementing algorithms.

Our Small Part

- Identify/capture robust phenomena regarding how individuals detect/respond to environmental changes that may be **exploitable for counter-insurgency efforts**.
- Develop simple algorithm with complex emergent properties.



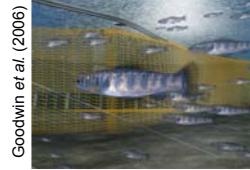
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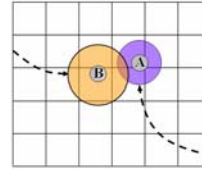
Basis of Research

Ex: aquatic system w/fish agents

Ex: system w/generic agents



Insight



- seek robustness in the algorithms that explain observed cognitive dynamics in the animal kingdom.

- seek to elevate the framework to derive simplistic but realistic analogues of the far more complex (human choice) decisions.



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Basis of Research: Exploit Robust Phenomena

Cognitive needs of the U.S. Army will find value from novel findings in non-human studies

- Most biological systems adapt to different conditions & environments.
- Nervous system developed mechanisms that use prior experience to predict future events.
- Many of these mechanisms could potentially support behavioral prediction.
- Little known about which specific mechanisms are used.
- Until recently, biases in experimental psychology and ethology precluded interpreting animal and human behaviors with shared mechanistic constructs.
- These biases are now being questioned.
- In recent years, there has been a surge in documenting processes in animals, such as future planning, which in humans are accompanied by distinctive conscious states.

SYSTEMS NEUROSCIENCE

NATURE | Vol 448 | 9 August 2007

Timing is everything

Phillip Larimer and Ben W. Strowbridge

Interactions among neurons in brain circuits underlie sensory perception and information storage. Work in *locusts* shows how the timing of different neuronal signals is synchronized to ensure effective communication.

ANIMAL BEHAVIOUR

Vol 445 | 22 February 2007

Planning for breakfast

Sara J. Shettleworth

It is commonly believed that planning for the future is a skill unique to humans. Could other animals, even those as evolutionarily distant as western scrub-jays, share this skill with us?

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March 2008

Inside Animal Minds

Brainy fellow creatures show that humans are not alone in their ability to invent, plan, or contemplate.



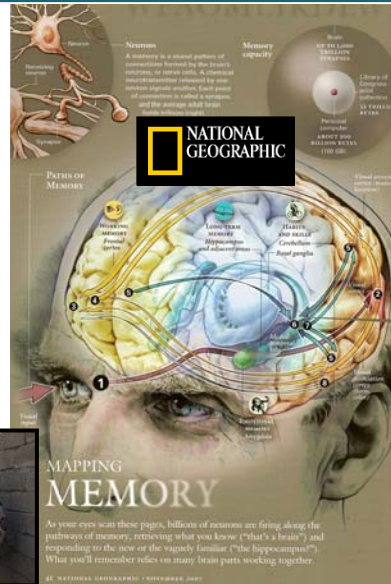
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Basis of Research: Exploit Robust Phenomena

Animals have multiple memory systems:

- Basal ganglia → short-term
 - Prefrontal cortex → long-term
 - Single neuron → short- and long-term
- Future events not exact replicas of past events.
 - A memory system storing rote records is not well-suited to simulating future events.
 - poor signal-to-noise ratio,
 - cognitively and computationally inefficient.
 - More parsimonious method would draw on elements and gist of the past.
 - extract, recombine, and reassemble past events into imaginary events that never occurred in that exact form,
 - such a system will occasionally produce memory errors, but it also provides considerable efficiency and flexibility.

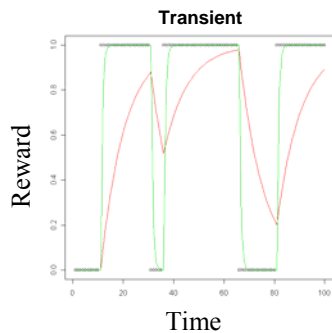


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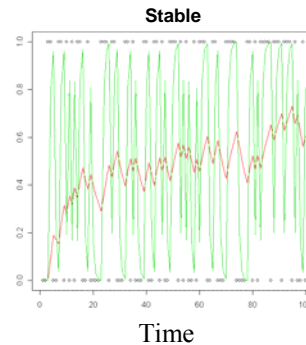


Our Project Objective/Hypothesis

- Discern how organisms differentiate between **transient** and **stable** environments.
 - Ability to distinguish between stable and transient environments can be developed from products of two neural systems with different response rates.
- **Exploit** → optimal rate of learning depends on the nature of variations in the environment.



Fast learners better predict rewards in Transient environments



Slow learners better predict rewards in Stable environments



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Product: Algorithm Process

Discern Stochastic Stationarity from 'Real' Change

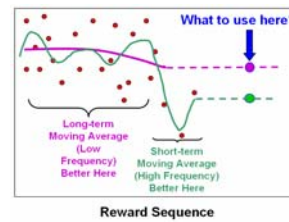
Estimators in this example are *Exponentially-Weighted Moving Averages (EWMA)*:

- so pervasive in psychology → called the common model for learning (Lea and Dow, 1984).
- however, other types of estimators can be used.

Characterize short- and long-term patterns of reward estimates and errors.

Best estimator depends on error in the estimators and a measure of the time between samples.

What if sample sequence is variable?
Which stream to use to forecast reward of future sample?

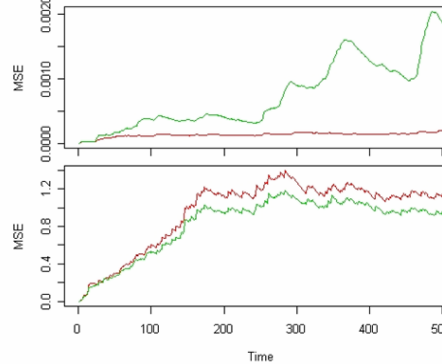
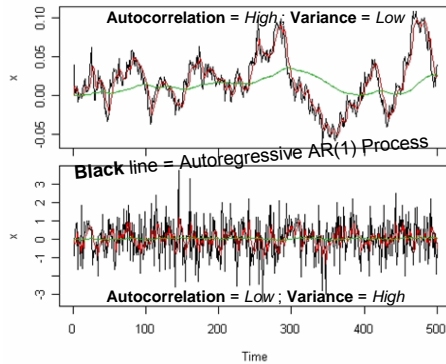


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Product: Algorithm Process

Principle: Animals should learn more quickly as environmental autocorrelation increases and variance decreases, but learn more slowly when autocorrelation is low and variance is high.



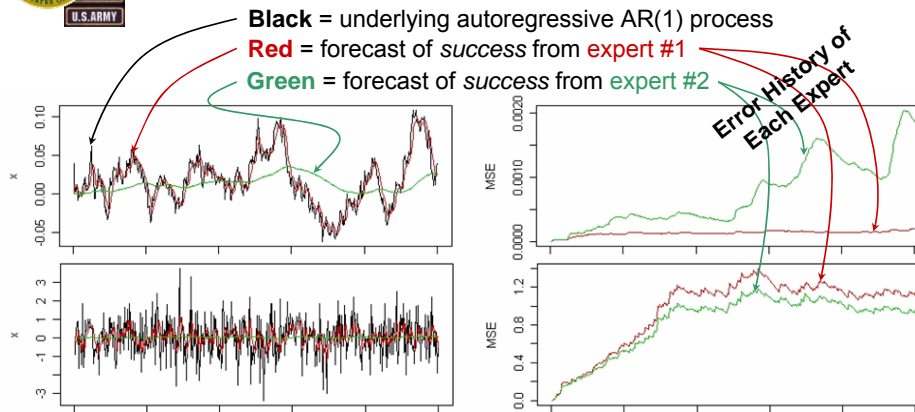
- **Left column** → AR(1) process and two different EWMA estimators:
 - Red is EWMA forecast with $K=0.3$.
 - Green is EWMA forecast with $K=0.01$.
- **Right column** → means squared error in EWMA estimators.
- **Upper-left AR(1) process** → generated with $\alpha_1=0.98$ and $\sigma^2=0.01$.
- **Lower-left AR(1) process** → generated with $\alpha_1=0.01$ and $\sigma^2=1$.



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Product: Algorithm Process



Experts and Filter Bank

- **Expert is a filter** that takes the reward sequence as input and outputs an estimate of the reward expected.
- Agent maintains group of N experts, each with different learning rates.
- EWMA is a low-pass filter (it passes low frequencies but stops high frequencies):
 - EWMA that learn quickly (**large K**) pass higher frequencies than slow learning estimates (**small K**).



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Product: Algorithm Process

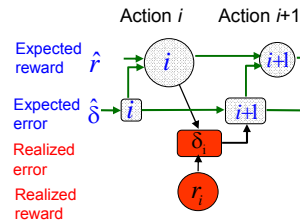
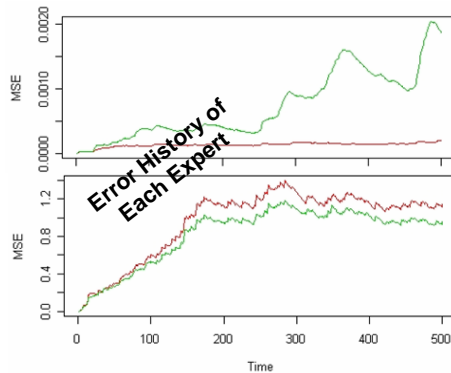
- Red** = forecast of success from expert #1
Green = forecast of success from expert #2

Mixture of Experts (Filter Bank)

- Prefer as few experts as possible

Gating Network

- Use error histories of the experts to weigh the value of each expert's forecast.
- Integrate experts' forecasts into a single forecast.



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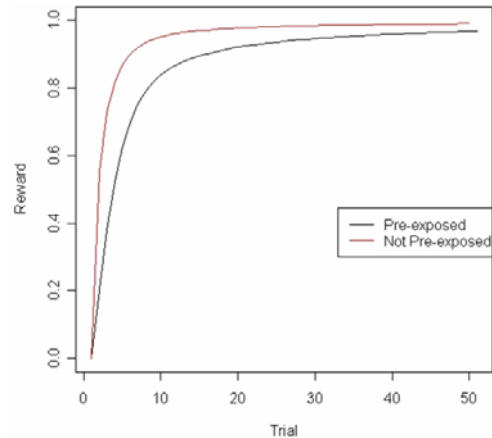


Product: Algorithm Capability

Classical and Operant Conditioning Experiments Three Important Phenomena: 1 of 3

Latent inhibition: process by which exposure to a stimulus, of little or no consequence, prevents conditioned associations with that stimulus being formed.

- subject first exposed to repeated presentations of a stimulus that is not followed by a reward, and then after a fixed number of trials, the stimulus is paired with a reward,
- subject begins responding to the stimulus at a slower rate than does a control subject that was not first exposed to the unrewarded stimuli,
- if subject treats each stimulus as a sample, then this is a clear example of **Principle: When information is old, weight new samples more highly than when information is recent.**



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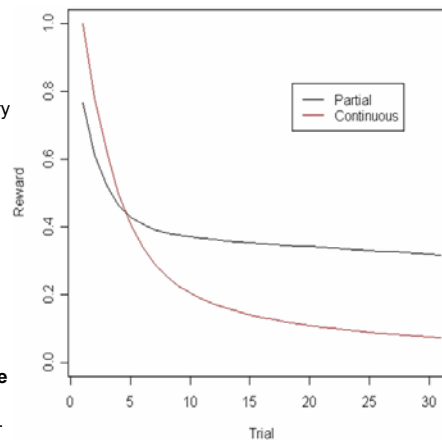
Product: Algorithm Capability

Classical and Operant Conditioning Experiments Three Important Phenomena: 2 of 3

Partial reinforcement extinction effect (PREE):

- each trial signaled by a stimulus (e.g., a bell), then subject responds (e.g., presses lever) to receive reward,
- a continuous reward group is rewarded on every trial for taking the action,
- a partial reward group is only rewarded randomly over the trials,
- both groups receive same number of conditioning trials, followed by *extinction* where none of the trials is rewarded,
- during *extinction*, subjects eventually stop responding to the stimuli, but response rate decreases more quickly in continuous group than in partial group,
- results follow from

Principle (repeated): Animals should learn more slowly when variance is high and, thus, that extinction should also proceed more slowly.



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Product: Algorithm Capability

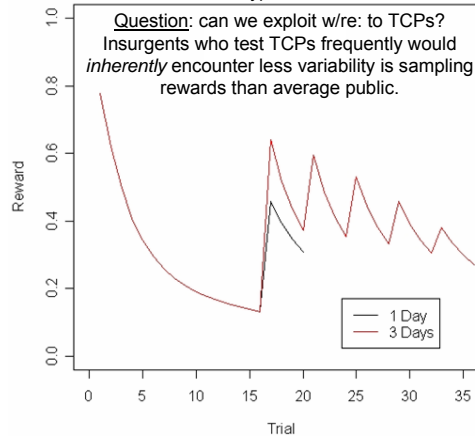
Classical and Operant Conditioning Experiments Three Important Phenomena: 3 of 3

Spontaneous recovery: one of the most widely known phenomena in associate learning.

- subject first conditioned to respond to a stimulus by associating it with rewards, then conditioned response is extinguished by withholding rewards,
- if large period (days) passes without presentation of stimulus and then stimulus is presented again, subject will again respond, spontaneously, to the stimulus,
- if in further stimuli presentation the reward is withheld after a response, response will again extinguish but passage of more time without stimulus presentation will still lead to spontaneous recovery, although of diminishing magnitude.

Principle: As time passes without sampling, the weight placed on the average rewards of older samples should increase.

Spontaneous recovery also possible/plausible from other types of transitions.



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